

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 12-2004)TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY DOCKET NUMBER

2002JP

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

TO BE ASSIGNED

104521644

INTERNATIONAL APPLICATION NO.

PCT/JP03/06613

INTERNATIONAL FILING DATE

May 27, 2003

PRIORITY DATE CLAIMED

July 18, 20002

TITLE OF INVENTION

PHOTOSENSITIVE COMPOSITION FOR INTERLAYER DIELECTRIC AND  
METHOD OF FORMING PATTERNED INTERLAYER DIELECTRIC

APPLICANT(S) FOR DO/EO/US

Tatsuro NAGAHARA, Hideki MATSUO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EL 972143635 US
23. ☒ Other items or information:

Form PCT/IB/301

Form PCT/IB/304

Form PCT/IB/308

WO 2004/010223 A1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |              |  |            |  |            |
|--|--------------|--|------------|--|------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>10/521644</b><br><b>TO BE ASSIGNED</b>   |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/JP03/06613</b>                 |            | ATTORNEY'S DOCKET NUMBER<br><b>2002JP308</b> |            |
| 24. The following fees are submitted:  |              |  |            | Applicant use                                | Office use |
| <input checked="" type="checkbox"/> a) Basic national fee ..... \$300.00   |              |  |            | \$ 300.00                                    |            |
| <input checked="" type="checkbox"/> b) Examination fee ..... \$200.00  |              |  |            | \$ 200.00                                    |            |
| <input checked="" type="checkbox"/> c) Search fee ..... \$500.00   |              |  |            | \$ 500.00                                    |            |
| <b>TOTAL OF ABOVE CALCULATIONS =</b> \$1000.00   |              |  |            | \$ 1,000.00                                  |            |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |              |  |            |  |            |
| Total Sheets   | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE       |  |            |
| - 100 =  | /50 =        |  | x \$250.00 | \$ 0.00                                      |            |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |  |            | \$   |            |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA   | RATE       |  |            |
| Total claims   | 12 - 20 =    | 0  | x \$50.00  | \$ 0.00                                      |            |
| Independent claims   | 2 - 3 =      | 0  | x \$200.00 | \$ 0.00                                      |            |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00  |              |  |            | \$ 0.00                                      |            |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |  |            | \$ 1,000.00                                  |            |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |  |            | \$ 0.00                                      |            |
| <b>SUBTOTAL =</b>  |              |  |            | \$ 1,000.00                                  |            |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |  |            | \$ 0.00                                      |            |
| <b>TOTAL NATIONAL FEE =</b>  |              |  |            | \$ 1,000.00                                  |            |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +  |              |  |            | \$ 0.00                                      |            |
| <b>TOTAL FEES ENCLOSED =</b>   |              |  |            | \$ 1,000.00                                  |            |
| <b>Amount to be refunded:</b>  |              |  |            | \$   |            |
| <b>Amount to be charged:</b>   |              |  |            | \$   |            |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.   |              |  |            |  |            |
| b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>50-3390</u> in the amount of <u>\$1,000.00</u> to cover the above fees. A duplicate copy of this sheet is   |              |  |            |  |            |
| c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-3390</u> . A duplicate copy of this sheet is enclosed.                                |              |  |            |  |            |
| d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.               |              |  |            |  |            |
| <b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  |              |  |            |  |            |
| SEND ALL CORRESPONDENCE TO:  |              |  |            |  |            |
| SANGYA JAIN  |              |  |            | SIGNATURE                                    |            |
| AZ ELECTRONIC MATERIALS USA CORP.  |              |  |            | Sangya Jain                                  |            |
| 70 MEISTER AVENUE  |              |  |            | NAME   |            |
| SOMERVILLE, NJ 08876   |              |  |            | 38,504                                       |            |
| Customer No. 26,289  |              |  |            | REGISTRATION NUMBER                          |            |

10/521644

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Tatsuro NAGAHARA, Hideki MATSUO

Docket No.  
DT01 Rec'd PCT/PTG 2001J8302 JAN 2005Application No.  
To Be AssignedFiling Date  
January 13, 2005Examiner  
To Be AssignedCustomer No.  
26,289Group Art Unit  
To Be Assigned

Invention:

**PHOTOSENSITIVE COMPOSITION FOR INTERLAYER DIELECTRIC AND  
METHOD OF FORMING PATTERNED INTERLAYER DIELECTRIC**

I hereby certify that the following correspondence:

Patent Application - 37 Pages

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

January 13, 2005*(Date)*MARIA T. SANCHEZ*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EL 972143635 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

10/521644

## CERTIFICATE OF MAIL BY "EXPRESS MAIL" (37 CFR 1.49)

Applicant(s): Tatsuro NAGAHARA, Hideki MATSUO

Docket No.

PCT/PTO 13 JAN 2005  
2002JP308Application No.  
To Be AssignedFiling Date  
January 13, 2005Examiner  
To Be AssignedCustomer No.  
26,289Group Art Unit  
To Be AssignedInvention: PHOTSENSITIVE COMPOSITION FOR INTERLAYER DIELECTRIC AND  
METHOD OF FORMING PATTERNED INTERLAYER DIELECTRIC

I hereby certify that the following correspondence:

International Search Report (Form PCT/ISA/210) for PCT/JP03/06613 - 2 Pages

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37  
CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

January 13, 2005

(Date)

MARIA T. SANCHEZ

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)

EL 972143635 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

10/521644

**CERTIFICATE OF MAIL BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): **Tatsuro NAGAHARA, Hideki MATSUO**

DT01 Rec'd

PCT/PTC

Docket No.

**13 JAN 2005**  
2002JP508

|                                   |                                 |                            |                        |                                  |
|-----------------------------------|---------------------------------|----------------------------|------------------------|----------------------------------|
| Application No.<br>To Be Assigned | Filing Date<br>January 13, 2005 | Examiner<br>To Be Assigned | Customer No.<br>26,289 | Group Art Unit<br>To Be Assigned |
|-----------------------------------|---------------------------------|----------------------------|------------------------|----------------------------------|

Invention: **PHOTOSENSITIVE COMPOSITION FOR INTERLAYER DIELECTRIC AND METHOD OF FORMING PATTERNED INTERLAYER DIELECTRIC**

I hereby certify that the following correspondence:

**Form PCT/IB/301 - 2 Pages**


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January 13, 2005  
*(Date)*

**MARIA T. SANCHEZ**

*(Typed or Printed Name of Person Mailing Correspondence)*

  
*(Signature of Person Mailing Correspondence)*

**EL 972143635 US**

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**Note: Each paper must have its own certificate of mailing.**

**CERTIFICATE OF MAIL BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Tatsuro NAGAHARA, Hideki MATSUO

Docket No.  
**10/521644**

2002JP308

DTG Rec'd PCT/PTC 13 JAN 2005

|                                   |                                 |                            |                        |                                  |
|-----------------------------------|---------------------------------|----------------------------|------------------------|----------------------------------|
| Application No.<br>To Be Assigned | Filing Date<br>January 13, 2005 | Examiner<br>To Be Assigned | Customer No.<br>26,289 | Group Art Unit<br>To Be Assigned |
|-----------------------------------|---------------------------------|----------------------------|------------------------|----------------------------------|

Invention: **PHOTOSENSITIVE COMPOSITION FOR INTERLAYER DIELECTRIC AND  
METHOD OF FORMING PATTERNED INTERLAYER DIELECTRIC**

I hereby certify that the following correspondence:

Form PCT/IB/308 - 1 Page

(Identify type of correspondence)

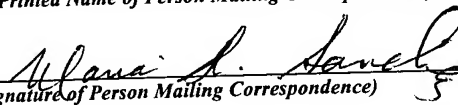
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

January 13, 2005

(Date)

MARIA T. SANCHEZ

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

EL 972143635 US

("Express Mail" Mailing Label Number)

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**CERTIFICATE OF MAIL BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Tatsuhiro NAGAHARA, Hideki MATSUO

107521644

2002JP308

DT01 PCT/IB/304 - 13 JAN 2005

|                                   |                                 |                            |                        |                                  |
|-----------------------------------|---------------------------------|----------------------------|------------------------|----------------------------------|
| Application No.<br>To Be Assigned | Filing Date<br>January 13, 2005 | Examiner<br>To Be Assigned | Customer No.<br>26,289 | Group Art Unit<br>To Be Assigned |
|-----------------------------------|---------------------------------|----------------------------|------------------------|----------------------------------|

Invention: **PHOTOSENSITIVE COMPOSITION FOR INTERLAYER DIELECTRIC AND METHOD OF FORMING PATTERNED INTERLAYER DIELECTRIC**

I hereby certify that the following correspondence:

Form PCT/IB/304 - 1 Page

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January 13, 2005

(Date)

MARIA T. SANCHEZ

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

EL 972143635 US

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Note: Each paper must have its own certificate of mailing.

**CERTIFICATE OF MAIL BY "EXPRESS MAIL" (37 CFR 1.10)**Docket No. 10/521644  
2002JP308

Applicant(s): Tatsuro NAGAHARA, Hideki MATSUO

DT01 PCT/PT

15 JAN 2005

|                                   |                                 |                            |                        |                                  |
|-----------------------------------|---------------------------------|----------------------------|------------------------|----------------------------------|
| Application No.<br>To Be Assigned | Filing Date<br>January 13, 2005 | Examiner<br>To Be Assigned | Customer No.<br>26,289 | Group Art Unit<br>To Be Assigned |
|-----------------------------------|---------------------------------|----------------------------|------------------------|----------------------------------|

Invention: **PHOTOSENSITIVE COMPOSITION FOR INTERLAYER DIELECTRIC AND  
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WO 2004/010223 A1 - 1 Page

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10/521644

## CERTIFICATE OF MAIL BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Tatsuro NAGAHARA, Hideki MATSUO

Docket No.

DT01 Rec'd PCT/PTO 13 JAN 2005  
2002JP308Application No.  
To Be AssignedFiling Date  
January 13, 2005Examiner  
To Be AssignedCustomer No.  
26,289Group Art Unit  
To Be AssignedInvention: PHOTSENSITIVE COMPOSITION FOR INTERLAYER DIELECTRIC AND  
METHOD OF FORMING PATTERNED INTERLAYER DIELECTRIC

I hereby certify that the following correspondence:

Transmittal Letter - 2 Pages

(Identify type of correspondence)

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10/521644

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)** **Recorded PCT/PT** **Docket No.** **13 JAN 2005**  
Applicant(s): Tatsuro NAGAHARA, Hideki MATSUO **2002JP308**

Application No.  
To Be Assigned

Filing Date  
January 13, 2005

Examiner  
To Be Assigned

Customer No.  
26,289

Group Art Unit  
To Be Assigned

Invention: **PHOTOSENSITIVE COMPOSITION FOR INTERLAYER DIELECTRIC AND  
METHOD OF FORMING PATTERNED INTERLAYER DIELECTRIC**

I hereby certify that the following correspondence:

**Declaration For Utility Or Design Patent Application - 2 Pages**

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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